1. **PURPOSE**
To provide a framework for higher education assessment, including pre and post assessment moderation.

2. **SCOPE**
Applies to all Holmesglen’s higher education programs.

3. **POLICY STATEMENT**
This policy provides a framework intended to ensure:

- validity of assessments and marking across the range of higher education programs delivered by Holmesglen;
- assessment strategies provide equal opportunities for students;
- consistent and appropriate standards of assessment through comprehensive pre-assessment, and, internal and external post-assessment, moderation processes.

4. **PRINCIPLES**

4.1. **General principles**

(i) All students receive fair and equitable treatment in relation to the assessment and marking of their achievement of subject and program learning outcomes.

(ii) Assessment practices are for learning and of learning.

(iii) Programs and subjects include a variety of assessment types consistent with the learning outcomes being assessed.

(iv) Comprehensive design and moderation processes ensure that assessment methods are fit for purpose, valid, reliable and effective.

(v) Assessment practices must be subject to quality assurance processes to facilitate continuous improvement.

(vi) Assessment methods:
   - are consistent across groups being taught the same subject;
   - are inclusive and equitable, valid and reliable;
   - encourage academic integrity and free intellectual inquiry.

(vii) Information about assessments is readily available and includes marking guides used for marking assessments, including examinations.

(viii) Assessors provide high quality, timely, detailed feedback to students in relation to all assessment items with the exception of the final examinations.

(ix) The number of assessments for each subject is manageable within the context of student workload demands across the program.

(x) The quality of assessment must be assured through a Departmental pre-assessment moderation process which forms part of Holmesglen’s quality assurance framework.

(xi) Special consideration, deferred assessment and extension to assessment must be made available to students disadvantaged due to a personal circumstance or event.

4.2. **Assessment design**

(i) Learning outcomes must be:
   (a) specified for each program and subject within a program.
consistent with the level and field of education of the qualification awarded
informed by national and international comparators and encompass discipline-related and generic learning outcomes including:

- specific knowledge and skills and their application that characterise the field(s) of education and disciplines involved;
- generic skills and their application that characterise the field(s) of education and disciplines involved;
- knowledge and skills required for employment and further study related to the program of study, including those required to be eligible to seek registration to practise where applicable;
- skills in independent and critical thinking suitable for life-long learning.

(ii) Assessment strategies and methods are:
(a) consistent with the learning outcomes being assessed,
(b) capable of confirming that all specified learning outcomes are achieved and that grades awarded reflect the level of student attainment.

(iii) Assessment strategies enable students to demonstrate that on completion of a program of study they have achieved the learning outcomes specified for the program of study, whether assessed at subject level, program level, or in combination.

(iv) Assessments must be mapped to the program learning outcomes, the subject learning outcomes, and the Holmesglen graduate attributes to ensure appropriate constructive alignment.

(v) Assessment provides an appropriate balance between early (formative) assessment, for the purpose of providing early feedback and summative assessment reflecting the learning achieved.

(vi) There should be no less than two (2) forms of assessment in each subject with a minimum value of five percent (5%) and a maximum of no more than 60 percent (60%) per assessment item except where professional body accreditation requires a higher percentage for specific assessment methods. The weighting of each piece of assessment should be appropriately balanced.

(vii) Assessment design must give consideration to the different modes of delivery and/or participation in the program, and the specific needs of particular individuals or cohorts in line with Holmesglen’s Higher Education Course Development and Review Policy.

(viii) Assessment tasks requiring group work must meet the following criteria:
(a) the forms of assessment are appropriate to the required learning outcomes and suitable for use in group work;
(b) where it is proposed to provide different marks for students, the task must clearly identify how students contribution to the group work will be assessed;
(c) appropriate plans are put in place and conditions specified for when a group is to be disbanded or a student is permitted to leave the group;
(d) the marking guide (rubric) is specifically designed for use in groupwork.

4.3. Subject guides

(i) Subject guides are available to all enrolled students no later than at the commencement of each subject and include:
(a) a marking guide containing specific assessment criteria for each piece of assessment, excluding final examinations;
(b) the required conditions for passing the subject;
(c) whether any hurdle assessments apply and the result of failure to pass these;
(d) specific assessment requirements relating to class attendance and participation. Where attendance is required as part of assessment, records will be maintained in accordance with the provisions for retention of assessments;
(e) a requirement that students retain copies of all assessment items for a subject, pending the release of a final grade;
(f) the date by which each item of assessment is due, including the penalties applicable for the late submission of the assessment item.

4.4. Special Consideration and Deferred assessment

Applications for special consideration or deferred assessment may be made by students who have been adversely affected by circumstances or events which have impacted their assessment, in line with Holmesglen’s Special Consideration/Deferred Assessment procedure.

4.5. Extension of time for assigned work

(i) Extension of time for assigned work may be granted for up to a maximum of two weeks to eligible students, where temporary circumstances of a personal or work-related nature adversely affect the student’s ability to submit on time.
(ii) The extension period will reflect the severity and/or duration of the student’s particular circumstances. Where the severity and/or duration of the particular circumstances warrant longer than two weeks, the student must apply for deferred assessment, special consideration or intermission of study.

4.6. Supplementary assessment

(i) A supplementary assessment may be granted to a student who would otherwise fail, on the following criteria:
   (a) the student has been marked at 45-49% of the mark;
   (b) the student has not failed two or more subjects in the relevant enrolment period;
   (c) the subject has two or more assessment items and the student has passed all but one.
(ii) Following the supplementary assessment the student’s final mark for the subject will either be a pass grade of 50% or a fail grade.

4.7. Conceded pass

(i) A conceded pass is a pass grade that may be given to students within the 45-49% band without the need for supplementary assessment. To be eligible for a conceded pass the student must have:
   (a) achieved a final mark of 45-49%
   (b) attempted all required assessment tasks for a subject, including any final examination
   (c) met any hurdle requirement satisfactorily for the subject
   (d) the subject is not required as a pre-requisite for further subjects.
(ii) To be granted a conceded pass, the following conditions apply:
   (a) conceded pass grades can only be used in undergraduate programs
   (b) conceded pass grades are not available for single subject enrolment
   (c) conceded pass grades do not satisfy pre-requisite requirements
   (d) a conceded pass subject cannot be used as the basis for an application for credit in a Holmesglen program of study.
(e) a maximum of two conceded passes will be allowed across a program, with a limit of one only in the final year of the program

(f) conceded pass grades can only be granted in a subject if it does not compromise any accreditation requirements for the relevant programs by the professional body

(g) the Grade Point Average (GPA) weighting for a conceded pass is 0.5.

(iii) The decision to award a conceded pass grade will be made by the Faculty Board of Examiners and the student is not advised of the outcome prior to receiving their results.

(iv) The student is provided with the option to repeat the subject if they want a standard grade.

4.8. Hurdle requirements

(i) A hurdle requirement in a program or subject is a compulsory aspect or component of the program/subject that must be complied with to a satisfactory standard in order for a student to be eligible to receive a pass grade or above for a subject or to graduate from the program of study.

(ii) Hurdle requirements are clearly articulated/explained in each program of study guide and subject outline as per the curriculum documentation and provided to students at the commencement of the program and subject.

4.9. Work Placement

Program and/or subject requirements may specify that students undertake work placement as a component of the subject and/or program of study.

4.10. Assessment results

(i) Results for all subjects are recorded on Holmesglen’s Student Management System (SMS) in the form of alpha and numeric grades except for subjects assessed as ‘Ungraded Pass’, ‘Conceded Pass’, ‘Fail’ or ‘Exemption which will receive an alpha grade only.

(ii) Centralised administrative rules for entering subject final results into the SMS ensure that students have prompt access to this data for additional academic or personal pursuits.

(iii) Student results may only be accessed by authorised personnel to safeguard the data and student privacy in accordance with the Holmesglen’s Privacy and Data Management processes.

4.11. Student progress

(i) Students ‘at risk’ or with ‘unsatisfactory program progress’ are identified and supported in accordance with the Higher Education Student Progress Procedure.

(ii) Unsatisfactory program progress reports for all International students are forwarded to the International Student Support Officer (ISSO) for action.

4.12. Submission of assessment items

(i) Each Faculty stipulates specific assessment submission formats.

(ii) All written submissions must be word processed and, if not submitted electronically via the Learning Management System, they must have a signed Holmesglen Higher Education Cover Sheet attached to the front of the assessment item.

(iii) Failure to submit an assessment item by the due date will incur a penalty as stipulated in the subject guide provided to students. The maximum penalty allowed is ten percent of the total marks per working day.

4.13. Moderation of assessment

(i) All subject assessment tasks, including examinations, must undergo pre-assessment moderation each time the subject is offered.
(ii) Internal post-assessment moderation must be conducted for each subject per enrolment period.

(iii) External post-assessment moderation must be conducted at least biennially as part of the external review and moderation process for higher education programs.


Assessment outcomes are graded in accordance with the table in Appendix 1 of this Policy.

4.15. Academic misconduct related to assessment

(i) Students are informed of their rights and obligations with regard to academic misconduct at the commencement of their programs. Students who are suspected or accused of academic misconduct shall:

- have their case dealt with on its merits;
- be entitled to be treated fairly and with dignity;
- be regarded as not having committed the misconduct until proven otherwise;
- have the right of appeal against a misconduct decision.

(ii) Cases of academic misconduct are dealt with in accordance with the Higher Education Academic Integrity Policy. If a student is found guilty of academic misconduct, a fail grade will be awarded for the subject and further action may be taken in line with the Higher Education Academic Integrity Policy and Procedure.

(iii) Students wishing to appeal any decision related to academic misconduct must follow the Assessment Appeals Procedure.

4.16. Assessment appeal

(i) Students have the right to appeal an assessment decision, based on valid ground for appeal, including:

a) An error has occurred in the calculation of the result/mark;

b) The assessment did not comply with the criteria and description in the program and/or subject guide and/or assessment task description;

c) The assessment criteria did not meet the requirements of the program, or differs from the agreed assessment criteria;

d) The assessment did not comply with Holmesglen policies on assessment (i.e., an error in process has occurred);

e) Inappropriate penalties have been applied;

f) Other reasonable grounds.

(ii) Students wishing to appeal an assessment decision must follow the Assessment Appeal Procedure.

5. DEFINITIONS

<table>
<thead>
<tr>
<th>Term</th>
<th>Meaning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assessment</td>
<td>A process used to gauge whether a student has achieved the learning outcomes for a subject and program.</td>
</tr>
<tr>
<td>Assessment moderation</td>
<td>A quality assurance process intended to ensure assessments are consistent, accurate, fair and maintain academic standards</td>
</tr>
<tr>
<td>Deferred assessment</td>
<td>May be granted to students were unable to complete an assessment task by the due date or attend an examination due to particular</td>
</tr>
<tr>
<td>Term</td>
<td>Meaning</td>
</tr>
<tr>
<td>-----------------------------</td>
<td>-------------------------------------------------------------------------</td>
</tr>
<tr>
<td>circumstance or events such as medical, significant personal hardship or another serious reason. Deferred assessment can only be applied when an assessment task has not been completed.</td>
<td></td>
</tr>
<tr>
<td>Special consideration</td>
<td>Consideration may be given to students who have completed an assessment task or attended an examination and whose assessment outcomes have been adversely affected by illness, significant personal hardship or another serious reason.</td>
</tr>
<tr>
<td>Supplementary assessment</td>
<td>May be granted to a student in certain circumstances such as the achievement of a mark within a particular range and/or after certain pre-requisites have been satisfied</td>
</tr>
<tr>
<td>Hurdle requirements</td>
<td>A conditional requirement which students must meet in order to pass a subject or to complete a program of study. Hurdle requirements vary from program to program and are identified for the program and/or subject details in the curriculum documentation. An explanation of any hurdle requirements, including the standards required to meet the hurdle requirement, must be provided to students in the program of study and subject guides distributed at the commencement of the subject.</td>
</tr>
<tr>
<td>Academic misconduct</td>
<td>Seeking to obtain an unfair disadvantage in a written, oral or practical assessment or examination (see Higher Education Academic Integrity Policy)</td>
</tr>
<tr>
<td>Academic integrity</td>
<td>The Higher Education Standards Framework (Threshold Standards) 2015 defines it as the moral code of academia. It involves using, generating and communicating information in an ethical, honest and responsible manner.</td>
</tr>
<tr>
<td>Pre-assessment moderation</td>
<td>The process to validate the appropriateness, clarity and fairness of assessment tasks and their alignment to subject- and program-level learning outcomes.</td>
</tr>
<tr>
<td>Post-assessment moderation</td>
<td>The process of internal and external checking of a representative sample of marked students’ work to ensure marking is consistent, fair and accurate, and that it aligns with published assessment criteria.</td>
</tr>
<tr>
<td>Internal moderation</td>
<td>Process undertaken to ensure that students are not disadvantaged or advantaged by lack of consistency in the assessment of items contributing to a student’s final grade in a subject.</td>
</tr>
<tr>
<td>External moderation</td>
<td>Process undertaken to ensure consistent and appropriate standards of assessment for the relevant education level of the program of study.</td>
</tr>
<tr>
<td>Grade Point Average (GPA)</td>
<td>A grade that is given to students on completion of their program of study that is the average result of all grades achieved by the student in their program of study, weighted by the credit value of each subject.</td>
</tr>
<tr>
<td>Enrolment period</td>
<td>This may be a year, a semester or a trimester over which a subject is delivered.</td>
</tr>
<tr>
<td>Work placement</td>
<td>Work placement encompasses any arrangement where students undertake learning in a workplace outside of Holmesglen as part of their program of study, including internships, clinical and practicum professional placements, workplace projects</td>
</tr>
</tbody>
</table>
6. CONTEXT AND/OR REFERENCED DOCUMENTS

Internal
Holmesglen Enrolment Policy
Higher Education Academic Integrity Policy
Overseas Students Policy
Complaints and Appeals Policy

External
Education Services for Overseas Students Act 2000 (Cth).
National Code of Practice for Providers of Education and Training to Overseas Students 2018
Australian Qualifications Framework (2013) (Pathways Policy)
Higher Education Standards Framework (Threshold Standards) 2015
Higher Education Support Act 2003

7. REVIEW
8.1 This policy must be reviewed no later than three years from the date of approval.
8.2 The policy will remain in force until such time as it has been reviewed and re-approved or rescinded. The policy may be withdrawn or amended as part of continuous improvement prior to the scheduled review date.

8. VERSION HISTORY

<table>
<thead>
<tr>
<th>Version Number</th>
<th>Date</th>
<th>Summary of changes</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>October 2019</td>
<td>New policy. Replaced the Higher Education Rule for Assessment and Moderation</td>
</tr>
</tbody>
</table>
### APPENDIX 1 - HIGHER EDUCATION GRADES

<table>
<thead>
<tr>
<th>Grade</th>
<th>Description</th>
<th>Result range / Mark</th>
</tr>
</thead>
<tbody>
<tr>
<td>HD</td>
<td>High distinction</td>
<td>80-100 %</td>
</tr>
<tr>
<td>D</td>
<td>Distinction</td>
<td>70-79 %</td>
</tr>
<tr>
<td>C</td>
<td>Credit</td>
<td>60-69 %</td>
</tr>
<tr>
<td>P</td>
<td>Pass</td>
<td>50-59 %</td>
</tr>
<tr>
<td>N</td>
<td>Fail</td>
<td>0-49% Based on failure to achieve a pass grade in a subject having attempted all the subject assessment requirements</td>
</tr>
<tr>
<td>NX</td>
<td>Fail</td>
<td>Based on failure to attempt/submit one or more of the assessment requirements for a subject</td>
</tr>
<tr>
<td>NN</td>
<td>Fail</td>
<td>Based on no assessment task being submitted in a subject</td>
</tr>
<tr>
<td>NW</td>
<td>Fail</td>
<td>Based on written notification of withdrawal from a subject after the census date</td>
</tr>
<tr>
<td>PX</td>
<td>Ungraded pass</td>
<td>No higher grade available</td>
</tr>
<tr>
<td>CP</td>
<td>Conceded pass</td>
<td></td>
</tr>
<tr>
<td>S</td>
<td>Supplementary Assessment</td>
<td>Interim grade pending supplementary assessment</td>
</tr>
<tr>
<td>NC</td>
<td>Not complete</td>
<td>Not complete</td>
</tr>
<tr>
<td>W</td>
<td>Withdrawn</td>
<td>Withdrawn</td>
</tr>
<tr>
<td>EX</td>
<td>Exemption</td>
<td>Exemption/credit granted on the basis of prior formal, informal and/or non-formal learning.</td>
</tr>
<tr>
<td>DA</td>
<td>Deferred Assessment</td>
<td>Interim Result – Deferred Assessment requested and granted</td>
</tr>
<tr>
<td>SC</td>
<td>Special Consideration</td>
<td>Interim Result – Special Consideration requested and granted</td>
</tr>
</tbody>
</table>